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Docket: 0756-1984

28/11  
#35 / FDS  
5-13-03  
Rivale

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of )

SHUNPEI YAMAZAKI et al )

Serial No. 09/334,646 )

Filed: 06/17/1999 )

For: SEMICONDUCTOR DEVICE AND )

FABRICATION METHOD THEREOF )

Group Art Unit: 2811

Examiner: S. Hu

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*Jose M. Dixon*

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Honorable Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

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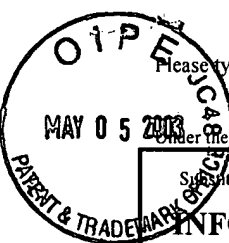
Respectfully submitted,



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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/334,646
				Filing Date	June 17, 1999
				First Named Inventor	Shunpei YAMAZAKI et al.
				Group Art Unit	2811
Examiner Name	Shouxiang Hu				
Sheet	1	of	1	Attorney Docket Number	0756-1984

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,034,792		Kimura et al.	07/23/1991	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (if known)				

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